Jane E. Weiler, KS S. Ct. #25276 Assistant Attorney General 120 SW 10th Ave., Second Floor Topeka, KS 66612 (785) 296-2215

## BEFORE THE KANSAS BEHAVIORAL SCIENCES REGULATORY BOARD

| In The Matter Of          | ) |                     |
|---------------------------|---|---------------------|
|                           | ) |                     |
| TRACEY L. WINTERS, LMSW   | ) | Case No. 20-MS-0060 |
| License #7986             | ) |                     |
|                           | ) |                     |
| Respondent                | ) |                     |
|                           | ) |                     |
| Pursuant to K.S.A. 77-536 |   |                     |

# SUMMARY PROCEEDING ORDER

NOW, on this 14 day of \_\_\_\_\_\_\_, 2020, the above-captioned matter comes before the Complaint Review Committee of the Kansas Behavioral Sciences Regulatory Board (Board).

#### FINDINGS OF FACT

The Board finds that the following facts have been established by a preponderance of the evidence:

- 1. Tracey L. Winters ("Respondent") was at all times relevant, a Licensed Master Social Worker ("LMSW"), having been issued LMSW License No. 7986. This license expires on February 28, 2021. Respondent is currently, and was at all times relevant an LMSW within the meaning of the laws relating to regulation of social workers, K.S.A. 65-6301 et seq.
- On or about October 21, 2019, a Report of Alleged Violation was received by the Board alleging Licensee may have violated certain statues and regulations governing the practice of licensed master social workers in the State of Kansas.

- 3. From approximately September 2017 through August 2019, Respondent was employed at the YWCA Center for Safety and Empowerment ("YWCA").
- 4. During her employment at YWCA, Respondent provided crisis counseling to a client.
- 5. In June 2019, Respondent allowed this client to stay with Respondent, in Respondent's spare room.
- 6. Additionally, Respondent told her spouse that the person staying in the spare room was Respondent's client.

#### APPLICABLE LAW

- 7. Pursuant to K.S.A. 77-537(a) of the Kansas Administrative Procedure Act ("KAPA"), a state agency may use summary proceedings, subject to a party's request for a hearing on the order, if:
  - (1) The use of those proceedings in the circumstances does not violate any provision of law;
  - (2) the protection of the public interest does not require the state agency to give notice and an opportunity to participate to persons other than the parties;
  - (3) based upon an investigation of the facts by the state agency, beyond receipt of the allegations, the state agency believes in good faith that the allegations will be supported to the applicable standard of proof, provided however that an alleged failure to meet the standards set forth in this subsection shall not be subject to immediate judicial review and shall not invalidate any later agency action that has been supported to the applicable standard of proof; and
  - (4) the order does not take effect until after the time for requesting a hearing has expired.
- 8. Pursuant to K.S.A. 65-6302(b): "Social work practice" means the professional activity of helping individuals, groups or communities enhance or restore their capacity for physical, social and economic functioning and the professional application of social work values, principles and techniques in areas such as psychotherapy, social service administration, social planning, social work consultation and social work research to one or more of the following ends:

Helping people obtain tangible services; counseling with individuals, families and groups; helping communities or groups provide or improve social and health services; and participating in relevant social action. The practice of social work requires knowledge of human development and behavior; of social, economic and cultural institutions and forces; and of the interaction of all these factors. Social work practice includes the teaching of practicum courses in social work and includes the diagnosis and treatment of mental disorders as authorized under K.S.A. 65-6306 and 65-6319, and amendments thereto.

- 9. Pursuant to K.S.A. 65-6311(a)(5): The board may refuse to issue, renew or reinstate a license, may condition, limit, revoke or suspend a license, may publicly or privately censure a licensee or may impose a fine not to exceed \$1,000 per violation upon a finding that a licensee or an applicant for license has violated a provision of the social workers licensure act or one or more rules and regulations of the board. Specifically:
  - a. K.A.R. 102-2-7(s): revealing information, a confidence, or secret of any client, or failing to protect the confidences, secrets, or information contained in a client's records;
  - b. K.A.R. 102-2-7(tt): engaging in a dual relationship with a client, supervisee, or student.

### CONCLUSIONS OF LAW

- 10. Respondent is in violation of K.S.A. 65-6311(a)(5), as defined in K.A.R. 102-2-7(s), in that Respondent revealed information regarding her client when she told her spouse that the individual staying with Respondent was Respondent's client.
- 11. Additionally, Respondent is in violation of K.S.A. 65-6311(a)(5), as defined in K.A.R. 102-2-7(tt), in that Respondent engaged in a dual relationship when she allowed a client to stay in Respondent's spare room.

#### **ORDER**

WHEREFORE, based on the above Findings of Fact and Conclusions of Law and pursuant to the authority granted by K.S.A. 65-6311(a) and K.S.A. 77-537, the Complaint Review Committee of behalf of the Board finds that the Kansas LMSW license of Respondent Tracey L. Winters should be and is hereby PUBLICALLY CENSORED for her violations of the Kansas Social Workers Licensure Act.

DAY OF

IT IS SO ORDERED ON THIS

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Chairperson, Complaint Review Committee
On behalf of the Behavioral Sciences
Regulatory Board

# NOTICE OF RELIEF FROM SUMMARY PROCEEDING ORDER

Pursuant to K.S.A. 77-537 and K.S.A. 77-542, this Summary Proceeding Order is subject to your request for a hearing. If you desire a hearing, you must direct a written request for hearing to:

Max Foster, Executive Director Behavioral Sciences Regulatory Board 700 SW Harrison St., Suite 420 Topeka, KS 66603-3929

This written request must be filed within fifteen (15) calendar days from the date indicated in the below Certificate of Service. Pursuant to K.S.A. 77-503(c), the 15-day period begins the day after the date indicated in the Certificate of Service and includes weekends and legal holidays. If the 15<sup>th</sup> day falls on a Saturday, Sunday, or legal holiday, then the 15-day period runs until 5:00 p.m. on the next day which is not a Saturday, Sunday, or legal holiday. A request is considered "filed" on the date it is received at the Board's office. If a hearing is not requested in the time and manner stated, this Summary Order becomes a final order subject to judicial review. A petition for judicial review should be directed to the above recipient.

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## CERTIFICATE OF SERVICE

This is to certify that on this 14 day of July, 2020, a true and correct copy of the above and foregoing Summary Proceeding Order was deposited in the U.S. mail, first class postage prepaid, addressed to:

Tracey L. Winters, LMSW

rraccy E. Willers, E.

and by email to: jane.weiler@ag.ks.gov

Jane E. Weiler, Esq.
Assistant Attorney General
Disciplinary Counsel for the Board

For the Board