

Behavioral Sciences Regulatory Board

Protecting and serving consumers of behavioral science services

The mission of the Behavioral Sciences Regulatory Board (BSRB), in accordance with the intent of the Kansas Legislature, is to protect and serve the consumers of services offered by BSRB licensees, through the issuance of licenses, resolution of complaints and the creation of appropriate regulations, accomplished through efficiency, fairness and respect to all those involved.

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Supervision Manual

"A Guide for the Licensed Clinical Marriage and Family Therapist Supervisor"

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1. LCMFT Supervision Manual Purpose

This manual is provided by the Kansas Behavioral Sciences Regulatory Board to assist those individuals providing LCMFT clinical supervision. The intent of this manual is to provide information regarding the BSRB's authority as it relates to clinical supervision for LCMFT candidates, and requirements to assist the LCMFT clinical supervisor in their role with their respective supervisee(s). Refer to ksbsrb.ks.gov for the most current version of all statutes and regulations.

2. Acknowledgement

The Board would like to express its sincere appreciation to and acknowledge those individuals who served on the sub-committee that worked to compile the information and prepare the documents included in this LSCSW Supervisor Manual. Their efforts to provide a useful resource for clinical social work supervisors and supervisees are greatly appreciated.

The following individuals served on the LSCSW Supervisor Manual Sub-Committee:

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3. LCMFT Clinical Supervision and Licensure Requirements - Statutes and Regulations

The title of each document in this manual is a link to the online document

a. BSRB Statutes - Click on a link below to view the statute on the website

K.S.A. 65-6402 - Definitions

K.S.A. 65-6404 - Qualifications for Licensure

K.S.A. 65-6402 - Authorization to Diagnose Mental Disorders

b. BSRB Regulations - Click on a link below to view the regulation on the website

K.A.R 102-5-1 - Definitions - Subsection (c) is the definition of clinical MFT practice

K.A.R. 102-5-4a. - Application for Licensure

K.A.R. 102-5-2 - Fees

K.A.R. 102-5-12 - Unprofessional Conduct

K.A.R. 102-5-7a. - Supervision – Requirements for Post-Graduate Experience

<u>K.A.R. 102-5-7b. - Board Approved Clinical Supervisor</u> – *Requirements for Board-Approved Supervisor*

K.A.R. 102-5-14 - Designation of Referral Source for Use in the Diagnosis and Treatment of Mental Disorders

Click this link to view the website page where all marriage & family therapist statutes & regulations are listed.

4. LCMFT Board-Approved Clinical Supervisor

The title of each document in this manual is a link to the online document

a. Board-Approved Clinical Supervisor

Each licensee providing postgraduate clinical supervision shall be a board-approved clinical supervisor.

BSRB statute, regulation, and form

K.S.A. 65-6414 - Board-Approved Clinical Supervisor - Statute

K.A.R 102-5-7b. - Requirements for board-approved clinical supervisor - Regulation

Board-Approved Clinical Supervisor Application form

5. LCMFT Supervision Process and Forms Statutes and Regulations

a. Definition of LCMFT Clinical Supervision

BSRB statutes and/or Regulations

<u>K.A.R. 102-5-1 - Definitions (n)</u> "Marriage and family therapy supervision" means a formal professional relationship between the supervisor and supervisee that promotes the development of responsibility, skill, knowledge, attitudes, and ethical standards in the practice of marriage and family therapy.

b. Role of LCMFT Clinical Supervisor

BSRB Statutes and/or Regulations

DEFINITIONS:

K.A.R. 102-5-1 (c) "Clinical marriage and family therapy practice" means the professional application of marriage and family therapy theory and methods to the treatment and prevention of psychosocial dysfunction, disability, or impairment, including behavioral, emotional, and mental disorders. Clinical marriage and family therapy shall include the following:

- (1) Assessment;
- (2) diagnosis of mental disorders;
- (3) planning of treatment, which may include psychotherapy and counseling;
- (4) treatment intervention directed to interpersonal interactions, intrapsychic dynamics, and life management issues;
- (5) consultation; and
- (6) evaluation, referral, and collaboration

K.S.A. 65-6402 (b) "Marriage and family therapy" means the assessment and treatment of cognitive, affective or behavioral problems within the context of marital and family systems and includes the diagnosis and treatment of mental disorders as authorized under the marriage and family therapists licensure act.

K.A.R. 102-5-1 (*q*) "Direct clinical contact" means face-to-face interaction between the therapist and an individual, couple, or family system.

K.S.A. 65-6402 Diagnosis and treatment of mental disorders by certain marriage & family therapists authorized. The following licensed marriage and family therapists may diagnose and treat mental disorders of the American Psychiatric Association designated by the board by rules and regulations: (c) "Licensed marriage and family therapist" means a person who engages in the practice

of marriage and family therapy and who is licensed under this act except that on and after January 1, 2002, such person shall engage in the practice of marriage and family therapy only under the direction of a licensed clinical marriage and family therapist, a licensed psychologist, a person licensed to practice medicine and surgery or a person licensed to provide mental health services as an independent practitioner and whose licensure allows for the diagnosis and treatment of mental disorders. (d) "Licensed clinical marriage and family therapist" means a person who engages in the independent practice of marriage and family therapy including the diagnosis and treatment of mental disorders specified in the edition of the diagnostic and statistical manual of mental disorders of the American psychiatric association designated by the board by rules and regulations and is licensed under this act.

c. Clinical MFT Practice

BSRB Statutes and/or Regulations

K.A.R. 102-5-1 **Definitions.** ... (c) "Clinical marriage and family therapy practice" means the professional application of marriage and family therapy theory and methods to the treatment and prevention of psychosocial dysfunction, disability, or impairment, including behavioral, emotional, and mental disorders. Clinical marriage and family therapy shall include the following:

- (1) Assessment;
- (2) diagnosis of mental disorders;
- (3) planning of treatment, which may include psychotherapy and counseling;
- (4) treatment intervention directed to interpersonal interactions, intrapsychic dynamics, and life management issues;
- (5) consultation; and
- (6) evaluation, referral, and collaboration.

d. Legal Responsibilities and Liability Risk for LCMFT Clinical Supervision

BSRB Statutes and/or Regulations - This is a partial list. Check with your professional liability insurance to make sure you are covered.

<u>K.S.A. 65-6408</u> **Refusal to grant, suspension, condition, limitation, qualification, restriction or revocation of license; grounds.** (a) The board may refuse to issue, renew or reinstate a license, may condition, limit, revoke or suspend a license, may publicly or privately censure a licensee or may impose a fine not to exceed \$1,000 per violation upon a finding that a licensee or an applicant for license:

- (1) Is incompetent to practice marriage and family therapy. "Incompetent to practice marriage and family therapy" means:
- (A) One or more instances involving failure to adhere to the applicable standard of care to a degree that constitutes gross negligence, as determined by the board;

- (B) repeated instances involving failure to adhere to the applicable standard of care to a degree that constitutes ordinary negligence, as determined by the board; or
- (C) a pattern of practice or other behavior that demonstrates a manifest incapacity or incompetence to practice marriage and family therapy;
- (2) has been convicted of a felony offense and has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (3) has been convicted of a misdemeanor against persons and has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (4) is currently listed on a child abuse registry or an adult protective services registry as the result of a substantiated finding of abuse or neglect by any state agency, agency of another state, the District of Columbia or the United States, territory of the United States or another country and the applicant or licensee has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (5) has violated a provision of the marriage and family therapists licensure act or one or more of the rules and regulations of the board;
- (6) has obtained or attempted to obtain a license or license renewal by bribery or fraudulent representation;
- (7) has knowingly made a false statement on a form required by the board for license or license renewal;
- (8) has failed to obtain continuing education credits required by rules and regulations of the board;
- (9) has been found to have engaged in unprofessional conduct as defined by applicable rules and regulations adopted by the board;
- (10) has had a professional registration, license or certificate revoked, suspended or limited, or has had other disciplinary action taken, or an application for registration, license or certificate denied, by the proper regulatory authority of another state, territory, District of Columbia or another country, a certified copy of the record of the action of the other jurisdiction being conclusive evidence thereof; or
- (11) has violated any lawful order or directive of the board previously entered by the board.
- (b) For issuance of a new license or reinstatement of a revoked or suspended license for a licensee or applicant for licensure with a felony conviction, the board may only issue or reinstate such license by a 2/3 majority vote.
- (c) Administrative proceedings and disciplinary actions regarding licensure under the marriage and family therapists licensure act shall be conducted in accordance with the Kansas administrative procedure act. Judicial review and civil enforcement of agency actions under the marriage and family therapists licensure act shall be in accordance with the Kansas judicial review act.

K.A.R. 102-5-12 - Unprofessional Conduct

e. LCMFT Clinical Supervision Training Plan

BSRB Statutes and/or Regulations

K.A.R. 102-5-1 - Definitions (d) "Clinical supervision training plan" means a formal, written agreement that establishes the supervisory framework for postgraduate clinical experience and describes the expectations and responsibilities of the supervisor and the supervisee. Clinical Supervision Training Plan Form

Example -Template How to Write a Clinical Supervision Plan (see page XX)

Sample - Supervision Log and Supervision Meeting form (see page XX)

f. LCMFT Clinical Supervision Hour Summary

BSRB Statutes and/or Regulations

Regulations relevant to clinical supervision hours:

K.A.R. 102-5-1 (c) K.A.R. 102-5-7a

Complete **all** minimum requirements in no fewer than two years and no more than six.

3000 total hours of supervised clinical experience

- ➤ 1500 hours Direct Client Contact
- > 1500 hours Professional Hours

1500 hours of "Direct Client Contact"

- > At least 1500 hours MUST be Direct Client Contact:
 - Face to Face (in person or electronically)
 - o Individual, Family or Group service to client system
 - Conducting Psychotherapy, Diagnosis (although the diagnosis does not have to be official) and Assessment

1500 hours of "Professional Hours"

- > Activities that support/enhance your work with clients
- o Prep for sessions, documentation, research
- Trainings or CEUs only if they are directly related to the client population you serve.
- Consider the work-related activity you are performing. View it through the lens of "am I doing this
 thing because of the clients I saw for the client contact?" If the answer is yes, then it can most likely
 be counted in the indirect client contact.

Complete 100 total hours of Clinical Supervision

- Must meet for at least 1 hour of supervision for every 15 hours of direct
- All supervision is face-to-face, either in person or, if confidentiality is technologically protected, by synchronous video conferencing
- o If extenuating circumstances are present, the board may approve supervision that is not face-to-face
- o 50 hours of supervision (out of 100 total required) must be individual supervision

- o (and at least 50 of these 75 hours have to be in person)
- Must have at least 100 supervision <u>meetings</u>

g. Training Plan Amendments

BSRB Statutes and/or Regulations

In pertinent part, <u>K.A.R. 102-5-7a (h)</u> <u>All</u> changes to the clinical supervision training plan shall be submitted by the supervisee to the board for its approval. The changes shall be submitted no more than 45 days after the date on which the changes took effect. If the supervisee fails to submit the changes to the board within that 45-day period, no supervised hours of practice shall be accrued or credited for any practice, beginning on the date the changes took effect through the date on which the changes to the plan are approved by the board.

The following forms are for the most common changes to a training plan. The supervisee must submit <u>ALL</u> changes to the board for approval, not only those covered by these forms.

Training Plan Amendment - Supervisor Changes

Training Plan Amendment - Work Changes

6. LCMFT Application Process

BSRB Statutes and/or Regulations and Forms

Application for Licensure: K.A.R. 102-5-4a.

LCMFT Application Packet - including instructions and supervisor's attestation

7. Common Questions Asked and Answered

Website FAQs (click here) Once there, scroll down for LCMFT information.

8. Writing A Clinical Supervision Training Plan

How to Write a Clinical Supervision Training Plan

1. Will you be using the DSM-5 in diagnosing clients?

Indicate which version of the DSM you will be using. Note: Providing diagnosis is required as part of the supervised clinical experience. It does not, however, require the diagnosis be official and placed in the client's file. For those work settings where the supervisee may not provide an official diagnosis, the supervisee must have the opportunity to provide a diagnostic impression and defend that diagnosis during supervision meetings.

2. Please list some specific diagnoses you expect to treat.

Provide examples of the DSM diagnoses you expect to encounter as part of your supervised clinical experience.

3. What are the anticipated types of clients to whom you will be providing services?

Provide examples of the client population you anticipate treating at your approved work site

4. What services will you be providing to clients?

Provide examples of the services, both clinical and nonclinical (if applicable) you will be providing to clients at your approved work site.

5. What are some theories of psychotherapy you plan to use in treating clients?

Provide examples of theories of psychotherapy that would be relevant for the client population you will treat.

6. What dates are expected to be covered with the Supervision Training Plan?

On what date do you anticipate beginning to accrue hours towards the LCMFT? On what date do you anticipate accruing all the required hours and supervision meetings?

Note: The training plan must be approved by the board before post graduate hours can begin to be accrued. The training plan approval date will be backdated to the date it was received by the board.

- 7. List your clinical supervision goals and briefly describe how you will attain those goals. Be sure to review the definition of clinical marriage and family therapy and incorporate into your goals. [See K.A.R. 102-5-1(c)] Also, include goals that relate to diagnosis and treatment of mental disorders. Include a description that specifies how you will meet the requirement to provide 1500 hours of psychotherapy and assessment.
- (c) "Clinical marriage and family therapy practice" means the professional application of marriage and family therapy theory and methods to the treatment and prevention of

psychosocial dysfunction, disability, or impairment, including behavioral, emotional, and mental disorders. Clinical marriage and family therapy shall include the following:

- (1) Assessment;
- (2) diagnosis of mental disorders;
- (3) planning of treatment, which may include psychotherapy and counseling;
- (4) treatment intervention directed to interpersonal interactions, intrapsychic dynamics, and life management issues;
- (5) consultation; and
- (6) evaluation, referral, and collaboration.
- 8. Outline your supervisor's responsibilities in relation to these goals and objectives.

How will your supervisor will help you attain the goals listed in number 7.

9. Outline your responsibilities in relation to these goals and objectives.

For you to achieve these goals what must you do?

10. Describe your plan and your supervisor's plan for the documentation of the date, length, method, content, and format of each supervisory meeting and your progress toward the learning goals.

Provide a sample form indicating how you will document this information.

- 11. Answer the following questions regarding your supervision:
- a. Describe the schedule for supervision.
- b. What is the ratio of supervision to direct client contact that you will receive?
- c. How many supervision hours will be individual?
- d. Will you receive group supervision? If so, how many supervision hours will be done in group? Also, how many supervisees will be in the group?
- e. What is the total number of supervision hours you will complete?
- 12. Describe the plan for documenting your progress toward meeting the required 3000 hours of supervised clinical experience, to include the 1500 hours of direct face to face client contact providing psychotherapy and assessment services.

Provide a sample form indicating how you will document this information.

13. Describe the plan for evaluating your progress in supervision. Periodic written evaluations are encouraged.

How will your clinical supervisor evaluate the supervised clinical experience? How often will you be evaluated? It is recommended that the evaluations be dated and signed by both the supervisee and the supervisor

14. Describe the plan for notifying the clients that you are practicing under supervision, the limits of confidentiality under supervision, and the name and contact information for your supervisor.

Include how you will notify clients of the above information. Additionally, you must provide all clients (not only those who ask) with written contact information for your clinical supervisor.

15. Describe the process for renegotiating this plan if warranted, including the need to submit all changes to the board office within 45 days of the change.

All changes (all means all) must be submitted to the Board in writing within 45 days of the change. Before you may accrue any hours based on the change, you must receive written approval from the Board

- **16.** Describe the process for remediating conflicts between yourself and your supervisor. When a conflict arises how will it be dealt with? Who might need to be called upon to aid in conflict resolution?
- 17. Describe the contingency plans for missed supervision sessions, and supervision while your supervisor is unavailable. Should there be an emergency or crisis and your primary supervisor is unavailable, to ensure supervision is available at all times, provide the name of an emergency supervisor.

An emergency supervisor is someone you would contact in an emergency or crisis if you could not contact your approved clinical supervisor. He/she can be anyone who is clinically licensed because you will not be accruing any supervision towards the LCMFT with this person.

A back up supervisor is someone with whom you would meet for supervision if your clinical supervisor is unavailable, either because of an unplanned or extended absence. This person must be approved in writing by the Board.

Please note: Anyone whose name is provided in your answer to this question is <u>NOT</u> automatically approved to provide supervision. No hours accrued under this supervisor (or supervisors) may be used toward the required hours for the LCMFT unless he/she is approved by completing section IV, V and VI of the training plan or by submitting a training plan amendment after the training plan has been approved. Any supervisor with whom you wish to accrue hours towards the LCMFT must be approved by the Board. Approval is provided by submitting the appropriate documentation to the Board.

9. Sample Forms

YOU ARE NOT REQUIRED TO USE THE FOLLOWING FORMS. THEY ARE PROVIDED AS SAMPLES

SUPERVISION LOG (SAMPLE)

Date	Direct Client	Indirect/other	Total	Individual	Group	In Person	Total	Brief
	Contact	Up to 1500	Hours	Supervision	Supervision	Y/N	Supervision	Description
	Min. 1500		Min. 3000	Hours	Hours		Hours	of Content
	Hours		Hours					
mm/dd/yy		2 100 10		65	100 10 0			
mm/dd/yy	-			20	MAIC			
mm/dd/yy								

SUPERVISION MEETING FORM (SAMPLE)

Date:		
Supervisee(s):		
Clinical Supervisor:		
Where supervision took place:	In person	Y N
Supervision meeting start time:	End time:	
Issues discussed/client cases:		
Supervisee strengths:		
Supervisee areas for improvement/concerns:		
Issues discussed/client cases:		
Tasks to be completed by the next supervision meeting or	r date specified:	
Supervisee comments or concerns:		
Supervisee Signature:		
Clinical Supervisor Signature:		