

## **Behavioral Sciences Regulatory Board**

Protecting and serving consumers of behavioral science services

The mission of the Behavioral Sciences Regulatory Board (BSRB), in accordance with the intent of the Kansas Legislature, is to protect and serve the consumers of services offered by BSRB licensees, through the issuance of licenses, resolution of complaints and the creation of appropriate regulations, accomplished through efficiency, fairness and respect to all those involved.

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# **Supervision Manual**

"A Guide for the Licensed Specialist Clinical Social Worker Supervisor"

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# 1. LSCSW Supervision Manual Purpose and

This manual is provided by the Kansas Behavioral Sciences Regulatory Board to assist those individuals providing LSCSW clinical supervision. The intent of this manual is to provide information regarding the BSRB's authority as it relates to clinical supervision for LSCSW candidates, and requirements to assist the LSCSW clinical supervisor in their role with their respective supervisee(s). Refer to <a href="https://www.ksbsrb.ks.gov">www.ksbsrb.ks.gov</a> for the most current version of all statutes and regulations.

## 2. Acknowledgement

The Board would like to express its sincere appreciation to and acknowledge those individuals who served on the sub-committee that worked to compile the information and prepare the documents included in this LSCSW Supervisor Manual. Their efforts to provide a useful resource for clinical social work supervisors and supervisees are greatly appreciated.

The following individuals served on the LSCSW Supervisor Manual Sub-Committee:

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# 3. LSCSW Clinical Supervision and Licensure Requirements - Statutes and Regulations

The title of each document in this manual is a link to the online document

#### a. BSRB Statutes - Click on a link below to view the statute on the website

65-6302 - Definitions

K.S.A. 65-6306 - Qualification for licensure

K.S.A. 65-6319 - Diagnosis of Mental Disorders by certain licensed social workers

#### b. BSRB Regulations - Click on a link below to view the regulation on the website

K.A.R. 102-2-1a. - Definitions – Subsection (e) is the definition of clinical social work practice

K.A.R. 102-2-2a. - Application for licensure

K.A.R. 102-2-3 - Fees

K.A.R. 102-2-7 - Unprofessional Conduct

K.A.R. 102-2-8 - Supervision – Supervision requirements for LSCSW STARTS with subsection (d)

K.A.R. 102-2-12 - LSCSW licensure requirements

K.A.R. 102-2-14 - Designation of referral source for use in the diagnosis and treatment of mental disorders authorized

Click this link to view the website page where all social work statutes and regulations are listed.

# 4. LSCSW Supervision Process and Forms Licensure Statutes and Regulations

### a. Definition of LSCSW Clinical Supervision

#### **BSRB** statutes and/or Regulations

<u>K.A.R. 102-2-1a</u> Definitions (aa) "Social work supervision" means a formal professional relationship between the supervisor and supervisee that promotes the development of responsibility, skill, knowledge, attitudes, and ethical standards in the practice of social work.

## b. Role of LSCSW Clinical Supervisor

#### **BSRB Statutes and/or Regulations**

K.S.A. 65-6319 Diagnosis and treatment of mental disorders by certain licensed social workers authorized. The following licensed social workers may diagnose and treat mental disorders specified in the edition of the diagnostic and statistical manual of mental disorders of the American psychiatric association designated by the board by rules and regulations: (a) A licensed specialist clinical social worker, and (b) a licensed master social worker who engages in the practice of social work only under the direction of a licensed specialist clinical social worker, a licensed psychologist, a person licensed to practice medicine and surgery or a person licensed to provide mental health services as an independent practitioner and whose licensure allows for the diagnosis and treatment of mental disorders. When a client has symptoms of a mental disorder, a licensed master social worker shall consult with the client's primary care physician or psychiatrist to determine if there may be a medical condition or medication that may be causing or contributing to the client's symptoms of a mental disorder. A client may request in writing that such consultation be waived and such request shall be made a part of the client's record. A licensed master social worker may continue to evaluate and treat the client until such time that the medical consultation is obtained or waived.

#### c. Clinical Social Work Practice

#### **BSRB Statutes and/or Regulations**

#### **DEFINITIONS:**

<u>K.A.R. 102-2-1a (e)</u> (e) "Clinical social work practice" means the professional application of social work theory and methods to the treatment and prevention of psychosocial problems, disability, or impairment, including emotional and mental disorders. Clinical social work shall include the following:

- (1) Assessment;
- (2) diagnosis;
- (3) treatment, including psychotherapy and counseling;
- (4) client-centered advocacy;
- (5) consultation;
- (6) evaluation; and
- (7) interventions directed to interpersonal interactions, intrapsychic dynamics, and life support.

<u>K.A.R. 102-2-1a (i)</u> (i) "Direct client contact" means the provision of social work services to a client or clients in an individual, family, or group format with interaction being conducted in person or remotely with real-time, two-way interactive audio, visual, or audiovisual communications, including the application of videoconferencing, in which confidentiality is protected. Interaction that includes electronic mail, instant messaging, texting, or facsimile shall not be considered direct client contact.

K.S.A. 65-6302 (b) "Social work practice" means the professional activity of helping individuals, groups or communities enhance or restore their capacity for physical, social and economic functioning and the professional application of social work values, principles and techniques in areas such as psychotherapy, social service administration, social planning, social work consultation and social work research to one or more of the following ends: Helping people obtain tangible services; counseling with individuals, families and groups; helping communities or groups provide or improve social and health services; and participating in relevant social action. The practice of social work requires knowledge of human development and behavior; of social, economic and cultural institutions and forces; and of the interaction of all these factors. Social work practice includes the teaching of practicum courses in social work and includes the diagnosis and treatment of mental disorders as authorized under K.S.A. 65-6306 and 65-6319, and amendments thereto.

K.S.A. 65-6302 (c) "Psychotherapy" means the use of psychological and social methods within a professional relationship, to assist the person or persons to achieve a better psychosocial adaptation to acquire greater human realization of psychosocial potential and adaptation; to modify internal and external conditions which affect individuals, groups or communities in respect to behavior, emotions and thinking, in respect to their intra-personal and inter-personal processes. Forms of psychotherapy include but are not restricted to individual psychotherapy, conjoint marital therapy, family therapy and group psychotherapy.

#### d. Legal Responsibilities and Liability Risk for LSCSW Clinical Supervision

**BSRB Statutes and/or Regulations -** This is a partial list. Check with your professional liability insurance to make sure you are covered.

<u>K.S.A. 65-6311.</u> Grounds for suspension, limitation, condition, revocation or refusal to issue or renew license; procedure; licensure of applicant with felony conviction; requirements. (a) The board may refuse to issue, renew or reinstate a license, may condition, limit, revoke or suspend a license, may publicly or privately censure a licensee or may impose a fine not to exceed \$1,000 per violation upon a finding that a licensee or an applicant for license:

- (1) Is incompetent to practice social work, which means:
- (A) One or more instances involving failure to adhere to the applicable standard of care to a degree that constitutes gross negligence, as determined by the board;
- (B) repeated instances involving failure to adhere to the applicable standard of care to a degree that constitutes ordinary negligence, as determined by the board; or
- (C) a pattern of practice or other behavior that demonstrates a manifest incapacity or incompetence to practice social work;
- (2) has been convicted of a felony offense and has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (3) has been convicted of a misdemeanor against persons and has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (4) is currently listed on a child abuse registry or an adult protective services registry as the result of a substantiated finding of abuse or neglect by any state agency, agency of another state or the United States, territory of the United States or another country and the applicant or licensee has not demonstrated to the board's satisfaction that such person has been sufficiently rehabilitated to merit the public trust;
- (5) has violated a provision of the social workers licensure act or one or more rules and regulations of the board;
- (6) has obtained or attempted to obtain a license or license renewal by bribery or fraudulent representation;
- (7) has knowingly made a false statement on a form required by the board for a license or license renewal;
- (8) has failed to obtain continuing education credits as required by rules and regulations adopted by the board;
- (9) has been found to have engaged in unprofessional conduct as defined by applicable rules and regulations adopted by the board; or
- (10) has had a license, registration or certificate to practice social work revoked, suspended or limited, or has had other disciplinary action taken, or an application for a license, registration or certificate denied, by the proper regulatory authority of another state, territory, District of Columbia, or other country, a certified copy of the record of the action of the other jurisdiction being conclusive evidence thereof.
- (b) For issuance of a new license or reinstatement of a revoked or suspended license for a licensee or applicant for licensure with a felony conviction, the board may only issue or reinstate such license by a 2/3 majority vote.

(c) Administrative proceedings and disciplinary actions regarding licensure under the social workers licensure act shall be conducted in accordance with the Kansas administrative procedure act. Judicial review and civil enforcement of agency actions under the social workers licensure act shall be in accordance with the Kansas judicial review act.

K.A.R. 102-2-7. Unprofessional Conduct

#### e. LSCSW Clinical Supervision Training Plan

## **BSRB Statutes and/or Regulations**

K.A.R. 102-2-1a Definitions (f) "Clinical supervision training plan" means a formal, written contract between a supervisor and a supervisee that establishes the supervisory framework for postgraduate clinical experience and the expectations and responsibilities of the supervisor and the supervisee.

Clinical Supervision Training Plan form

Example -Template How to Write a Clinical Supervision Plan (see page 13)

Sample - Supervision Log and Supervision Meeting form (see page 16)

## f. LSCSW Clinical Supervision Hour Summary

#### **BSRB Statutes and/or Regulations**

Regulations relevant to clinical supervision hours.

K.A.R. 102-2-1A (e) K.A.R 102-2-12 (c) K.A.R. 102-2-8 (d)

Complete all minimum requirements in no fewer than two years and no more than six.

#### 3000 total hours of supervised clinical experience

- ➤ 1500 hours Direct Client Contact
- > 1500 hours Professional Hours

#### 1500 hours of "Direct Clinical Contact"

- ➤ At least 1500 hours MUST be Direct Client Contact:
  - o Individual, Family or Group service to client system
  - Conducting Psychotherapy, Diagnosis (although the diagnosis does not have to be official) and Assessment

#### 1500 hours of "Professional Hours"

- > Activities that support/enhance your work with clients
- o Prep for sessions, documentation, research
- o Trainings or CEUs only if they are directly related to the client population you serve.
- Consider the work related activity you are performing. View it through the lens of "am I doing this
  thing because of the clients I saw for the client contact?" If the answer is yes, then it can most likely
  be counted in the indirect client contact.

#### **Complete 100 total hours of Clinical Supervision**

- o Must meet for at least 1 hour of supervision for every 15 hours of direct client
- Must meet at least two times per month, at least one of these two meetings must be individual supervision
- o 50 hours of supervision (out of 100 total required) have to be individual supervision
- O Up to 50 hours of supervision may be group supervision

## g. Training Plan Amendments

#### **BSRB Statutes and/or Regulations**

In pertinent part, <u>K.A.R. 102-2-8</u> ...(d)... (7) Revision of the clinical supervision training plan. <u>All</u> changes to the clinical supervision training plan shall be submitted by the supervisee to the board for its approval. The changes shall be submitted no more than 45 days after the date on which the changes took effect. If the supervisee fails to submit the changes to the board within that 45-day period, no supervised hours of practice shall be accrued or credited for any practice, beginning on the date the changes took effect through the date on which the changes to the plan are approved by the board.

The following forms are for the most common changes to a training plan. The supervisee must submit <u>ALL</u> changes to the board for approval, not only those covered by these forms.

Training Plan Amendment – Supervisor Changes

Training Plan Amendment – Position or Work Site Changes

## **5. LSCSW Application Process**

## **BSRB Statutes and/or Regulations and Forms**

Application for Licensure: K.A.R 102-2-2a

LSCSW application packet - including instructions and supervisor's attestation

## 6. Common Questions Asked and Answered

Website FAQs (click here) Once there, scroll down for LSCSW information.

## 7. Writing A Clinical Supervision Training Plan

#### HOW TO WRITE A CLINICAL SUPERVISION TRAINING PLAN

1 through 11. Answer all Yes/No questions, providing additional information as needed. Beginning with question number 12 you will be required to provide written answers.

12. a. What are the anticipated types of clients to whom you will be providing services? [KAR 102-2-8 (d)(6)(B)]

Provide examples of the client population you anticipate treating at your approved work site.

b. What services will you be providing to clients?

Provide examples of the services, both clinical and nonclinical (if applicable) you will be providing to clients at your approved work site.

- 13. Review the definition of clinical social work below (KAR 102-2-1a (e)) list your clinical supervision goals and briefly describe how you will attain those goals. You may include additional goals if you wish but you must provide goals based upon numbers 1-7.
- (e) "Clinical social work practice" means the professional application of social work theory and methods to the treatment and prevention of psychosocial problems, disability, or impairment, including emotional and mental disorders. Clinical social work shall include the following:
- (1) Assessment;
- (2) diagnosis;
- (3) treatment, including psychotherapy and counseling;
- (4) client-centered advocacy;
- (5) consultation;
- (6) evaluation; and
- (7) interventions directed to interpersonal interactions, intrapsychic dynamics, and life support and management issues.
- **14.** Outline your responsibilities in relation to these goals and objectives. [KAR 102-2-8 (d)(6)(F)] For you to achieve these goals what must you do?
- 15. Outline your supervisor's responsibilities in relation to these goals and objectives. [KAR 102-2-8 (d)(6)(E)]

Note how your supervisor will help you attain the goals listed in number 13.

16. Describe the contingency plans for missed supervision sessions, and supervision while your supervisor is unavailable. Should there be an emergency or crisis and your primary supervisor is unavailable, to ensure supervision is available at all times, provide the name of an emergency supervisor.

How will missed supervision sessions be made up? Who would provide supervision if your supervisor has a planned absence such as vacation, medical issue, etc.? Provide the name of the person who would provide supervision if your clinical supervisor was unavailable during a crisis.

An emergency supervisor is someone you would contact in an emergency or crisis if you could not contact your approved clinical supervisor. He/she can be anyone who is clinically licensed because you will not be accruing any supervision towards the LSCSW with this person.

A back up supervisor is someone with whom you would meet for supervision if your clinical supervisor is unavailable, either because of an unplanned or extended absence. This person must be approved in writing by the Board.

Please note: Anyone whose name is provided in your answer to this question is NOT automatically approved to provide supervision. No hours accrued under this supervisor (or supervisors) may be used toward the required hours for the LSCSW unless he/she is approved by completing section III, IV and V of the training plan or by submitting a training plan amendment after the training plan has been approved. Any supervisor with whom you wish to accrue hours towards the LSCSW must be approved by the Board. Approval is provided by submitting the appropriate documentation to the Board.

# 8. Sample Forms

# YOU ARE NOT REQUIRED TO USE THE FOLLOWING FORMS. THEY ARE PROVIDED AS SAMPLES

# **SUPERVISION LOG (SAMPLE)**

Date	Direct Client	Professional	Total	Individual	Group	Total	Supervision	Brief
	Contact	Hours	Hours	Supervision	Supervision	Supervision	In Person or	Description
	Min. 1500	Up to 1500	Min. 3000	Hours	Hours	Hours	Televideo	of Content
	Hours		Hours					
mm/dd/yy								
mm/dd/yy		210010		65	10010			
mm/dd/yy	2	CHIE		56	THE			

# **SUPERVISION MEETING FORM (SAMPLE)**

In person	Y N
End time:	
date specified:	
	In personEnd time: